# NON-TENURE TRACK AAUP – AFT (Legacy AAUP-BHSNJ) 0.5 FTE or GREATER

**FACULTY OFFER LETTER TEMPLATE**

**REVISED: August 11, 2023**

*(****Full Name***)

(***Address***)

(***City, State, Zip***)

Dear (***Dr./Mr./Ms****.):*

I am pleased to offer you a position as (*faculty title*), on the *(insert appropriate track title: Teaching Track, Clinical Track (if Clinical Track, state “as a Clinical Educator” or “as a Clinical Scholar”), Research Track, Professional Practice Track*) in the (*name of**department / program*) in (name of School) which is a part of Rutgers Biomedical and Health Sciences of Rutgers, The State University of New Jersey (“University”).

***If appointment is not coterminous****:* This is a non-tenure track (*10 month/12 month*) appointment beginning on, or about, (*month/day/year*) and ending on June 30, (*year*). This is a (*full-time/part-time, if part-time state FTE*) appointment. At the expiration of this term appointment, reappointment may or may not be offered in the sole discretion of the University. If your term appointment will not be renewed, you will receive written notice that your term appointment is not to be renewed upon expiration no later than *(insert number of months)* prior to the expiration of your term. *(The following is a guide to completing the letter but shall not be included in the letter: four months prior to the expiration of a one-year appointment, six month prior to the expiration of a two-year appointment, or twelve months prior to the expiration of an appointment greater than two years).*

***If appointment is coterminous:*** This is a non-tenure track (*10 month/12 month*) appointment. The terms of this appointment are coterminous with and contingent upon continued funding at the current or increased level of funding from (*source of external**funding*) or any other approved sources of outside funding. The appointment is beginning on (*month/day/year*) and ending on June 30, (*year*) provided that the current or increased level of funding from (*source of external funding*) or any other approved sources of outside funding for the position is/are continued for this period. This is a (*full-time/part-time, if part-time state FTE*) appointment. At the expiration of this term appointment, reappointment may or may not be offered in the sole discretion of the University. If your term appointment will not be renewed, you will receive written notice that your term appointment is not to be renewed upon expiration no later than *ninety (90) days* prior to the expiration of your term. If funding is ending/reduced, you will receive ninety (90) day notice that the appointment will end.

***If appointment includes administrative title****:* You will also hold the administrative title of (*administrative title*) at the (name of School) for which you will receive additional compensation of (state amount).

Your performance will be reviewed annually, and any additional compensation will be determined in accordance with the University’s existing merit award program applicable to senior administrators.

You serve in this administrative capacity at the will of the Dean. Should you no longer hold this administrative position you will no longer receive this additional compensation.

***If academic base and other salary components***: Your total compensation will be $(*insert total compensation, total of all components including administrative stipend, if applicable*). This includes an academic base of $(*dollars*), a supplemental component *of $(dollars*)*,* ***AND If applicable, add******the following****: and $(dollars) for your administrative role.*

*Your supplemental component will be paid as follows: (insert payment parameters, including whether it is guaranteed or conditional. If guaranteed, indicate for how long.)* *You may be eligible for additional compensation based on performance.*

Salary components are contingent upon satisfactory performance and a variety of other University and School criteria. ***For faculty in the AAUP-AFT (Legacy AAUP-BHSNJ):*** The AAUP-AFT has the right to request negotiation between the parties over a proposed change to a faculty member’s salary component, during the term of the appointment, prior to any change taking effect that is not expressly provided for the in Article VIII: Compensation of the collective negotiations agreement.

***If academic base only***: Your total salary will consist of an academic base of (\_\_\_\_\_ dollars), ***AND If applicable, add******the following****: and $(dollars) for your administrative role. You may be eligible for additional compensation based on performance.*

Your annual salary will be paid over a 12-month period.

***If applicable:*** You will be expected to cover a significant portion of your time devoted to research from extramural sources.You may be eligible for an extramural incentive based on the plan in place at the time of payment. You can find the current extramural incentive plan here: <https://facultyaffairs.rbhs.rutgers.edu/faculty-resources/aaup-bhsnj-extramural-support-incentive-awards-program/>.

***If clinical faculty in RWJMS with an FVS:*** *Insert FVS template language found* [*here*](https://facultyaffairs.rbhs.rutgers.edu/administrative-resources/faculty-offer-letters/)*.*

***If clinical faculty:*** Your receipt and maintenance of (1) a full, unconditional and unrestricted license to practice medicine or dentistry in the State of New Jersey, and (2) valid registrations from the U.S. Drug Enforcement Administration (“DEA”) and the New Jersey Office of the Attorney General, Division of Consumer Affairs, Drug Control Unit (“CDS”) are conditions of your employment with the University. You certify that you have not in the past and are not currently a “sanctioned individual” as defined in 42 U.S.C. Sec. 1320a-7(b)(8), regarding individuals excluded from participation in Medicare or any state Medicaid program. **[State any additional requirements for board certification, credentialing, and/or enrollment in Medicaid and Medicare Programs.]** If you do not obtaina valid New Jersey clinical license and DEA and CDS registrations (state any other requirements) within 90 days of your start date, or for such period of time as extended by the Dean, your appointment will be terminated.  **[State any requirement to maintain hospital privileges at (name of hospital)].** It is your responsibility to immediately notify your Chair upon any non-renewal, suspension or termination of a full, unconditional and unrestricted license and/or any required registrations. You must also notify your Supervisor immediately upon notice that you are under investigation for any claim which could lead to exclusion from participation in Medicare or any state Medicaid program or which could subject you to sanctions by the New Jersey Board of Medical Examiners or New Jersey Board of Dentistry. If you fail to maintain your license and/or any required registrations in full, unconditional, and unrestricted status (or in the event that certain conditions or restrictions are placed on your license), or you are excluded from participation in Medicare or any state Medicaid program you will be immediately removed from any patient care activities. Compensation may be suspended or reduced if you are unable to perform employment responsibilities as a result of a failure to maintain your license and/or any required registrations (or as a result of conditions or restrictions being placed on your license), or if you are excluded from participation in Medicare or any state Medicaid program. In addition, failure to maintain a full, unconditional and unrestricted license and/or any required registrations, or if you become excluded from participation in Medicare or any state Medicaid program, shall constitute a breach of the terms and conditions of this Agreement, and may result in a termination of the employment relationship.

***If clinical faculty:*** You are required to participate in the Medicare and Medicaid Programs as well as other commercial health plans and third-party payor programs as may be determined by Rutgers in its sole discretion. You must ensure that your services are provided in accordance with requirements of the Medicare and Medicaid Programs and of such commercial health plans and third-party payors.

***If applicable:*** As an employee of Rutgers, you are required to participate in and offer your clinical services through a clinical practice plan authorized by Rutgers. By acceptance of this appointment you assign your right to bill, collect and retain all revenue for professional care services to Rutgers or such entity as Rutgers shall designate along terms as established by Rutgers and you agree to cooperate to effectuate the assignment [For RWJMS only add:, which is documented in the accompanying Assignment/Limited Power of Attorney form]. Rutgers reserves the right to replace its current authorized

clinical practice plan with another clinical practice plan.

[For NJMS only add:  Currently, Rutgers has an agreement with Barnabas Health, Inc. (d/b/a RWJBH Corporate Services, Inc.) to provide revenue cycle management (e.g., billing and collection services) for patient care services furnished by NJMS clinical faculty. Barnabas Health furnishes these services through its controlled affiliate, University Physician Associates of New Jersey, Inc., a New Jersey non-profit corporation. Rutgers reserves the right to replace its current revenue cycle management provider with another revenue cycle management provider.]

***If clinical, part-time faculty: Insert liability claims statement "A". (See attached)***

Information regarding reappointment and promotion, can be found in the *Rutgers Biomedical and Health Sciences* *Policies and Guidelines* (<https://facultyaffairs.rbhs.rutgers.edu/appointments-promotions/faculty-appointments-and-promotions-guidelines/>*).* The guidelines for reappointment and promotion can be found here: <https://facultyaffairs.rbhs.rutgers.edu/appointments-promotions/academic-reappointment-promotion-instructions/>.

**Describe the major duties and responsibilities of the position (include following language “**These responsibilities may be amended upon written notification to you.”)**, specific clinical or administrative duties, etc., plus a statement that these expectations are not all inclusive ("...and such other duties as assigned by the Chair and/or Dean."), and as well a statement of the responsibilities and commitment of the institution.** **The breakdown of the faculty member’s FTE (cFTE, eFTE, sFTE and/or rFTE) must be included.**

If you require staff or faculty for your research lab or other operations, all hiring must adhere to any applicable federal, state and local laws, collective negotiations agreement, and University policies and procedures. The recruitment, offer and onboarding process must adhere to University and RBHS policy and procedures; candidates must meet the education and experience requirements of the posted position; and salary recommendations must adhere to terms and condition of applicable collective negotiation agreements and/or the requirements of UHR Compensation Services.

**You report to *(insert name of supervisor).***

***For all faculty at or below Associate Professor, if supervisor will be initial mentor, include this language:***In an effort to assist you in your career advancement, SUPERVISOR NAME will serve as your initial mentor and advise you on opportunities that may help advance your academic career. Within approximately six months, and in consultation with your supervisor, you will be asked to identify a primary mentor. If your Supervisor will remain a mentor, you will be asked to identify one or more additional mentors, one of whom will serve as primary mentor who signs off on your individual development plan. The composition of your mentoring and career development group can be adjusted as you see fit, generally in consultation with your supervisor.

***For all faculty at or below Associate Professor, if the mentor is someone other than the supervisor, include this language:*** NAME will serve as your mentor in an effort to assist you in your career advancement. In consultation with your supervisor, you may choose to identify an alternate primary mentor and additional mentors who will help guide your career development.

This offer is contingent upon the successful completion of all the procedures and approvals required by the University and *[insert name of School]* and the completion of a background check (including educational credentials, employment history, licensing/certification verification, criminal history, social security records, research misconduct and professional liability claims history) deemed favorable by the University.

In addition, appointment to this position requires that you are not listed by the Office of the Inspector General (OIG), the General Services Administration (GSA), the New Jersey Debarment list, the New Jersey Division of Consumer Affairs, the N.J. Treasurer’s exclusions databases, and the 45 state Medicaid exclusion list databases as excluded from participating in federal and/or state health care, research or other grant programs. The undersigned faculty member agrees that during the term of this Agreement any violations of federal or state law or actions that are contrary to university policy or public policy shall constitute a breach of its terms and conditions and may result in a termination of the employment relationship and a forfeiture of all employment benefits expressed within. You are also required to sign the attached restrictive covenant agreement.

***If clinical faculty in NJMS and RWJMS***: You are also required to sign the attached restrictive covenant agreement [For RWJMS only add: and the attached Assignment/Limited Power of Attorney form].

All faculty are required to comply with the Bylaws, policies and procedures of the University and the School, including the University’s compliance program, as they may be amended from time to time. Nothing in this letter supersedes applicable University and/or School Bylaws, policies, or procedures.

The School’s bylaws are located at (*insert applicable link*). University policies of frequent interest to faculty include:

* Compliance Program

<https://uec.rutgers.edu/programs-2/healthcare-compliance/>

* Patents

[https://policies.rutgers.edu/sites/policies/files/50.3.14%20-%20current\_0.pdf](https://policies.rutgers.edu/sites/policies/files/50.3.14%20-%20current_0.pdf%20)

* Intellectual Property: Copyrights & Royalties

<https://policies.rutgers.edu/sites/policies/files/50.3.7-current.pdf>

* Investigator Conflict of Interest

<https://research.rutgers.edu/researcher-support/research-compliance/conflict-interest>

* Code of Ethics: General Conduct

[https://uec.rutgers.edu/wp-content/uploads/CodeofEthics.pdf](https://uec.rutgers.edu/wp-content/uploads/CodeofEthics.pdf%20)

* OIG / GSA Exclusion

<https://policies.rutgers.edu/100-2-2-currentpdf>

* Guidelines for Conduct of Research and Scholarly Activities

<https://policies.rutgers.edu/sites/policies/files/90.2.2%20-%20current_0.pdf>

* Liability Insurance

<https://finance.rutgers.edu/healthcare-risk/insurance>

Policies dealing with pre-employment health requirements are:

* HIV, HBV and HCV

<https://policies.rutgers.edu/sites/policies/files/40.3.5%20-%20current.pdf>

Faculty who have potential patient contact and/or exposure to human cells or tissues must be tested for hepatitis infection and immunity as condition of employment in accordance with the HIV, HBV and HCV Policy.

* Tuberculosis Surveillance

<https://policies.rutgers.edu/sites/policies/files/100-3-2-strikeout-06-12-2020.pdf>

If required by University policy 100.3.2, you must be tested for tuberculosis as a condition of employment. Please provide documentation of recent results in accordance with the Tuberculosis Surveillance Policy.

Please refer to the attached memo, "Pre-Employment Medical Evaluation" for instructions on submission of a recent TB test and HBV documentation (if applicable).

·      This offer of employment is contingent upon compliance with the University’s COVID-19 vaccination requirements.  The University requires all new employees to provide proof that they are fully vaccinated and have received a booster (where eligible) against COVID-19 prior to commencement of employment, unless the University has granted the employee a medical or religious exemption.  Based on current guidance, individuals are considered fully vaccinated against COVID-19 two weeks after receiving the final dose of a vaccine approved or authorized for emergency use in the United States or a vaccine that has been listed for emergency use by the World Health Organization.  Eligibility for a booster against COVID-19 varies and is explained on the University’s web site located at <https://coronavirus.rutgers.edu/covid-19-vaccine>.  By signing this offer letter of employment, you agree to provide proof to the hiring manager that you are fully vaccinated against COVID-19 and have received a booster (where eligible) or have received a medical or religious exemption prior to your first day of employment.  Failure to do so will result in rescission of this offer of employment.  Individuals who are not eligible for a booster at the time of an offer of employment must provide proof they have received a booster within 14 days of becoming eligible and upload proof of same.  Failure to do so will result in disciplinary action up to and including termination.  You will receive an email with instructions to upload your vaccination card and/or to apply for a medical or religious exemption.

The Immigration Reform and Control Act of 1986 requires all employers to certify the identity and work eligibility of all new employees. This offer of appointment is therefore subject to your presentation of proper documentation, as required by law. The *Employment Eligibility* form (Form I-9) is an online process, and all new employees must present the required documents to the employing department, in person, within three days of the employment start date. Accordingly, please present the required documents to (insert name) before your start date. You must complete an Employment Eligibility Verification Form (Form I-9), an Oath or Affirmation document, and other required payroll forms by your appointment begin date.

In addition to the Form I-9, all new employees are required to have their employment eligibility verified through government databases using E-Verify. E-Verify compares information from your Form I-9 to data from the U.S. Department of Homeland Security and Social Security Administration records to confirm employment eligibility. In the event that the E-Verify system of the U.S. Citizenship and Immigration Services (USCIS) gives Rutgers a “Temporary Non-Confirmation (TNC)” of your eligibility for this job, you will be notified by your employing department and given the opportunity to respond to USCIS within the required timeframe. If subsequent to a TNC on your case the E-Verify system gives Rutgers a “Final Non-Confirmation (FNC)” of your eligibility for the job, your employment in this position will be subject to immediate termination as required by USCIS regulations. For information on the Form I-9, E-Verify and the list of acceptable documents, please visit the U.S. Citizenship and Immigration Service website <http://www.uscis.gov/portal/site/uscis>.

***If full-time, insert this paragraph*:**

Full-time faculty are eligible for health and certain other benefits. (*Insert Name)* will register you for a benefits orientation session where you will review benefits-related information and forms. Information describing the comprehensive benefits program at Rutgers University is also available online at <http://uhr.rutgers.edu/benefits/benefits-overview>. Once you have attended the orientation, currently a virtual offering, please complete the benefit enrollment as instructed. Health enrollment is done online and all other forms should be sent to the Faculty and Staff Service Center by emailing OneSource@rutgers.edu.

All new faculty are strongly encouraged to attend one of the regularly scheduled RBHS Chancellor’s Welcome and Reception for New Faculty. These events provide an opportunity to meet RBHS leadership, learn about important University and RBHS programs and initiatives, and meet fellow new faculty.  Faculty may attend a Welcome Event any time after accepting an offer through the first year of employment.  Please visit <https://facultyaffairs.rbhs.rutgers.edu/faculty-resources/new-faculty-orientation/> for more information, upcoming dates, and to register.

By accepting this offer of employment, you are representing to Rutgers that you are not subject to any restrictive covenant, non-compete agreement or other legal restriction which prevents you from being employed by Rutgers.

***If no administrative title:***

As part of your employment, you are represented by the Rutgers Council of AAUP Chapters, AAUP-AFT, AFL-CIO for purposes of collective negotiations. The collective negotiations agreement can be found here:  <https://laborrelations.rutgers.edu/faculty/labor-contracts>.

***Optional Statement:*** It is our expectation that if you choose to leave the University prior to the end of your appointment, you will provide ninety days written notice.

Please do not hesitate to contact *(insert supervisor)* if you have any questions concerning the terms of this offer. Kindly indicate your acceptance of the terms and conditions of this Letter of Offer by signing in the space provided below. (***If applicable add:*** Please complete and sign the enclosed Liability Claims Information – for paid clinical faculty only and/or the enclosed Restrictive Covenant Agreement), and return with the signed Letter of Offer to (specify) within (*number*)days. You will be contacted by University Human Resources to complete the Background Check Investigation (BGI) process. Background Check FAQs can be found here: <https://uhr.rutgers.edu/talent-acquisition/faq-background-check>. Please respond to UHR and submit the requested materials as soon as possible.

We look forward to working with you.

Sincerely,

Chair or Dean

I accept the terms and conditions of this appointment:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature Date

**A: LIABILITY CLAIMS STATEMENT (Part-time Paid Clinical Faculty)**

The University Program of Self Insurance is governed by the terms and provisions of the State of N.J. Tort Claims Act, **N.J.S.A. 59:1-1, et seq.** It covers only your activities performed within the scope of your University part-time employment. “Scope of University Employment” is defined as all activities performed by a faculty or staff member on behalf of, assigned and authorized by, and under the direction of the University. This shall include activities performed through a Clinical Practice Plan approved by the University, provided such work is billed and collected according to the terms of the Plan. Given the importance of professional liability coverage, you are urged to read the entire University Policy about Liability Insurance, <https://policies.rutgers.edu/sites/default/files/40.3.1%20-%20current.pdf>. If you have questions regarding this Policy, please contact Kenneth Young, Assistant Director of Risk Control & Insurance Management at 973-972-6617or at youngke@finance.rutgers.edu.

In the event that you furnish clinical or other services (such as consulting or expert witness services) outside of you practice for the University, you must maintain your own professional liability insurance with limits of not less than $1,000,000 per occurrence and $3,000,000 in the aggregate in order to protect your legal interests. Under N.J. law, any professional liability insurance which covers your activities concurrently will be deemed primary coverage. You are required to obtain and attach a copy of your Certificate of Insurance, naming Rutgers, The State University of New Jersey as certificate holder, to this letter after you have signed it, and return both to this department. The Certificate of Insurance can be obtained from your insurance company and/or insurance broker. (Note that pursuant to University policy, all outside employment must be approved in advance by the [Name of Department] Chair.)